

## Physiological and ecological controls on carbon sequestering in terrestrial ecosystems\*

BOYD R. STRAIN

Duke Phytotron, Department of Botany, Duke University,  
Durham, NC 27706, USA

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**Abstract.** Carbon cycling processes in ecosystems are generally believed to be well understood. Carbon, hydrogen, oxygen and other essential elements are chemically converted from inorganic to organic compounds primarily in the process of photosynthesis. Secondary metabolic processes cycle carbon in and among organisms and carbon is ultimately released back to the environment as CO<sub>2</sub> by respiratory processes. Unfortunately, our understanding of this cycle was determined under the assumption that the primary inorganic form of C (CO<sub>2</sub> in the atmosphere) was relatively constant. With the emerging consensus that atmospheric carbon concentration is increasing, we must now reassess our understanding of the carbon cycle. How will plants, animals and decomposers respond to a doubling of carbon supply? Will biological productivity be accelerated? If plant productivity increases will a predictable percentage of the increase be accumulated as increased standing crop? Or, is it possible that doubling the availability of CO<sub>2</sub> will increase metabolic activity at all trophic levels resulting in no net increase in system standing crop? The purpose of this paper is to review evidence for physiological and growth responses of plants to carbon dioxide enhancement. Essentially no research has been completed on the ecological aspects of these questions. From this review, I conclude that accurate predictions of future ecosystem responses to increasing atmospheric carbon dioxide concentration are not possible without additional understanding of physiological and ecological mechanisms.

### Introduction

The biota of the earth occurs in complex aggregations termed ecosystems. Ecosystems are integrated units of plants, animals, and microbes interacting through time with each other and with the physical-chemical environment. Ecosystems can be delineated by geographic, topographic, taxonomic, hydrologic, or energetic bounds. Ecosystems can be studied by quantitatively describing species relationships through time or by determining flux rates of mass and/or energy between and among ecosystem components.

In a consideration of the carbon dioxide issue, I will focus on carbon as the primary material of concern. Carbon flows within ecosystems in the

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manner diagrammed in Figure 1. In the abiotic portion of ecosystems, carbon primarily occurs in the inorganic forms of  $\text{CaCO}_3$  in sediments,  $\text{HCO}_3^-$  in fresh or marine waters, and  $\text{CO}_2$  in the atmosphere. Fossilized organic matter in the form of coal and oil remained outside of the biosphere for eons but is now re-entering the biosphere through human energy consumption.

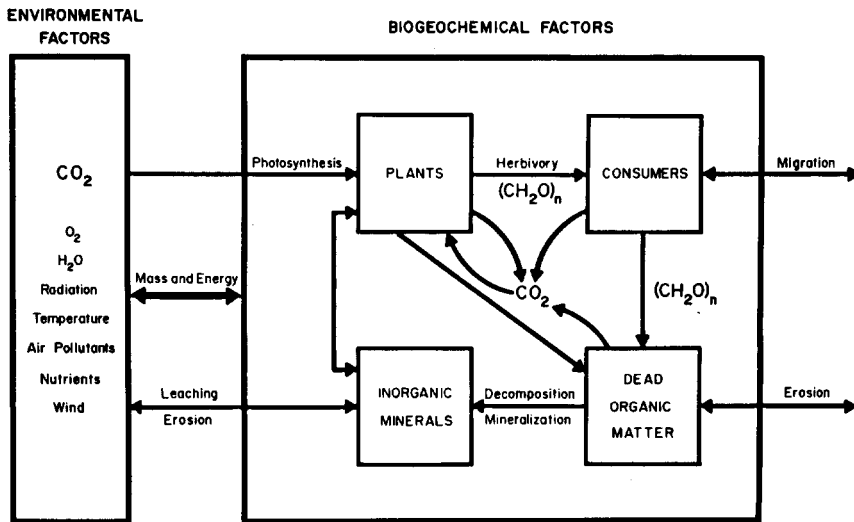


Figure 1. Carbon cycling in ecosystems. (Modified from strain, 1982).

The objective of this review is to define the components of ecosystems that are involved in the biological controls of carbon flux to and from the atmosphere and to discuss the impacts of atmospheric  $\text{CO}_2$  enrichment on these controls. It is particularly important to identify any physiological or ecological responses that may change as atmospheric  $\text{CO}_2$  concentration changes.

The initial response of ecosystems to atmospheric  $\text{CO}_2$  enrichment is primarily due to direct physiological responses of plants. These primary responses lead to secondary physiological changes and finally to tertiary effects manifested as whole plant growth responses (Table 1).

Changes in plant growth differ qualitatively and quantitatively among species. These differential plant responses effect all subsequent organism interactions including the primary ones of direct organism interaction, secondary population adjustments and long term tertiary effects on evolution and ecosystem structure (Table 1).

Table 1. Physiological and ecological controls on carbon sequestering in carbon eco-systems

I. Physiological controls on carbon sequestering	II. Ecological controls on carbon sequestering
<p>A. Primary physiological responses</p> <ol style="list-style-type: none"> <li>1. Photosynthesis</li> <li>2. Photorespiration</li> <li>3. Dark CO<sub>2</sub> fixation</li> <li>4. Stomatal aperture</li> </ol> <p>B. Secondary physiological responses</p> <ol style="list-style-type: none"> <li>1. Photosynthate concentration</li> <li>2. Photosynthate composition</li> <li>3. Photosynthate translocation</li> <li>4. Plant water status               <ol style="list-style-type: none"> <li>a. transpiration</li> <li>b. tissue water potential</li> <li>c. water use efficiency</li> <li>d. leaf temperature</li> </ol> </li> <li>5. Tolerance to gaseous atmospheric pollutants</li> </ol> <p>C. Tertiary whole plant responses</p> <ol style="list-style-type: none"> <li>1. Growth rate               <ol style="list-style-type: none"> <li>a. weight</li> <li>b. height</li> <li>c. leaf area</li> <li>d. node formation</li> <li>e. stem diameter</li> <li>f. leaf senescence</li> </ol> </li> <li>2. Growth form               <ol style="list-style-type: none"> <li>a. height</li> <li>b. branch number</li> <li>c. leaf number</li> <li>d. leaf area</li> <li>e. root/shoot weight</li> <li>f. stem diameter</li> <li>g. leaf specific weight (weight/area)</li> <li>h. cytological and anatomical changes</li> </ol> </li> <li>3. Reproduction               <ol style="list-style-type: none"> <li>a. flowers produced earlier</li> <li>b. flower size</li> <li>c. flower number</li> <li>d. fruits produced and mature earlier</li> <li>e. fruit size</li> <li>f. fruit number</li> <li>g. seeds produced and mature earlier</li> <li>h. seeds/plant increase</li> <li>i. seed germination induced</li> </ol> </li> </ol>	<p>A. Primary organism interactions</p> <ol style="list-style-type: none"> <li>1. Plant-plant               <ol style="list-style-type: none"> <li>a. interference</li> <li>b. competition</li> <li>c. symbiosis</li> </ol> </li> <li>2. Plant-animal               <ol style="list-style-type: none"> <li>a. herbivory</li> <li>b. pollination</li> <li>c. shelter</li> </ol> </li> <li>3. Plant-microbes               <ol style="list-style-type: none"> <li>a. disease</li> <li>b. decomposition</li> <li>c. symbiosis (mycorrhizae, N-fixation)</li> </ol> </li> </ol> <p>B. Secondary organism interactions</p> <ol style="list-style-type: none"> <li>1. Evolutionary effects – genetic differentiation</li> </ol> <p>C. Tertiary ecosystem responses</p> <ol style="list-style-type: none"> <li>1. Integration of all effects through time</li> </ol>

## Literature review

### *I. Physiological controls on carbon sequestering*

#### *A. Primary physiological responses*

The direct effects of increasing atmospheric CO<sub>2</sub> on ecosystems are primarily exerted on carbon dioxide diffusion into plants and its assimilation in carbon metabolism (section I.A., Table 1). Net photosynthesis may be estimated if one knows the magnitude of the diffusion gradient from the CO<sub>2</sub> concentration of the atmospheric source (C<sub>a</sub>) to the CO<sub>2</sub> concentration of the internal air spaces within the photosynthetic leaf (C<sub>i</sub>) and the sum of all resistances (Σr) to the diffusion of CO<sub>2</sub> along that concentration gradient. This relationship was defined by Gaastra (1959) and may be expressed as:

$$\text{Net photosynthesis} = \frac{C_a - C_i}{\Sigma r}$$

The resistances generally considered in this relationship are the aerodynamic nature of the air boundary layer (r<sub>a</sub>), the variable resistance caused by the pore area of the stomata (r<sub>s</sub>), and the sum of the gaseous, liquid, and enzymatic resistance within the leaf (r<sub>i</sub>).

$$\Sigma r = r_a + r_s + r_i$$

Thus, it is assumed that under continuous atmospheric CO<sub>2</sub> enrichment, essentially all green plants should increase net photosynthesis (Tolbert and Zelitch, 1983). It has been reported, however, that increasing CO<sub>2</sub> will directly increase r<sub>s</sub> (Akita and Moss, 1972), and that transpiration will decrease accordingly (Pallas, 1965). Because of the increasing diffusion gradient of CO<sub>2</sub>, photosynthesis will remain constant or increase but transpiration will decrease (Moss et al., 1961; Bavel, 1974). The net effect is an increase in water use efficiency (Takami and Bavel, 1976; Hurt and Wright, 1976). This effect has been repeatedly confirmed (Wong, 1979; Carlson and Bazzaz, 1980; Rosenberg, 1981; Sionit et al., 1984). A recent study of the interaction between defoliation, irradiance, water stress and CO<sub>2</sub> enhancement clearly demonstrates the complexity of the issue (von Caemmerer and Farquhar, 1984).

In addition to direct physiological effects of CO<sub>2</sub> on photosynthesis and stomatal aperture, there is a well known inhibitory effect of high internal CO<sub>2</sub> concentration on oxygen use in photorespiration (Percy and Bjorkman, 1983). This reduces carbon loss in photorespiration thereby increasing net photosynthesis. There are also probable direct effects of CO<sub>2</sub> increase on dark CO<sub>2</sub> fixation in plants with crassulacean acid metabolism (CAM plants) and in heterotrophic tissues (Gates, Strain and Weber, 1983).

### B. Secondary physiological responses

Beyond the primary physiological responses of the autotrophic organisms, there are many secondary physiological responses (section I.B., Table 1). The concentration of soluble sugars and starch may increase with increasing  $\text{CO}_2$  (Madsen 1968; Cave, Tolley and Strain, 1981), and photosynthate accumulation may increase osmotic concentration and feedback to reduce photosynthesis rate (Guinn and Mauney, 1980). Accumulating starch has been shown to cause distortion of chloroplasts (Cave, Tolley and Strain, 1981; Wuff and Strain, 1982), but it has not been demonstrated that this will directly reduce photosynthesis rate (Pearcy and Bjorkman, 1983).

Photosynthate composition other than sugar and starch has also been shown to change as increasing  $\text{CO}_2$  causes increasing photosynthesis rate (Madsen 1971, 1974). Tolbert and Zelitch (1983) recently reviewed carbon metabolism associated with increasing atmospheric  $\text{CO}_2$  concentration. They speculate that increasing rates of sugar production may cause a decrease in energy available for the production of acetate, fatty acids, lipids, and isoprene polymers.

The relationship between photosynthesis rate and translocation is not a simple one. The translocation of carbohydrates is believed to be a diffusion phenomenon and so an increase in soluble sugar concentration in leaves should increase translocation rates (Ho, 1976). On the other hand, Clough, Peet, and Kramer (1981), Clough and Peet (1981) and Peet (1984) have shown that the source/sink ratio, manipulated by controlling leaf/fruit ratio, will affect photosynthesis rate in soybean. Potvin, Goeschl and Strain (1984) demonstrated that low temperature and  $\text{CO}_2$  concentration interact to affect translocation in ecotypes of the  $\text{C}_4$  grass *Echinochloa crus-galli* from warm and cool habitats. Translocation was drastically reduced at low temperature in genotypes from a warm habitat but high  $\text{CO}_2$  concentration tended to ameliorate the reduction.

Plant water status is affected as  $\text{CO}_2$  increases (section I.B.4, Table 1). The closing of stomates as described above decreases water loss rate (Palias, 1965) allowing osmotic concentration to increase sufficiently to maintain turgor above the wilting point. Sionit et al. (1981d) demonstrated that increased turgor pressure allowed greater leaf expansion and plant growth to occur at high  $\text{CO}_2$  levels.

Transpiration will be reduced as increasing  $\text{CO}_2$  closes stomates and the concomitant decreases in evaporation will cause leaf temperature to increase (Pearcy and Bjorkman, 1983). Leaf heating will change photosynthesis rates and leaf vapor pressure further affecting stomatal aperture. It is difficult or impossible to predict the net result of these complicated interactions at this time.

Many gaseous air pollutants (e.g. ozone, sulfur dioxide and nitrogen oxides) detrimentally affect plants by diffusing into the leaves through the open stomates. Coyne and Bingham (1977) and Green and Wright (1977)

have shown an increasing tolerance to ozone as stomates were progressively closed by increasing atmospheric CO<sub>2</sub> concentration. Carlson and Bazzaz (1982) clearly demonstrated the response for SO<sub>2</sub> and showed a differential response for plants of different metabolic pathways.

### *C. Tertiary whole plant responses*

The secondary responses outlined above will lead to changes in plant growth and development (section I.C., Table 1). Changes will occur in the rate of growth, in the form of plants produced and in sexual reproduction of the plants.

*1. Growth rate.* Increase in plant weight, height, and leaf area were among the first reported responses to increased CO<sub>2</sub> (Cummings and Jones, 1918). Since the early studies, almost all growth responses have been shown to accelerate as CO<sub>2</sub> is increased in the atmosphere. Sionit and his colleagues have documented these growth responses in a large number of contemporary studies (Sionit et al., 1980, 1981a, 1981b, 1981c, 1981d, 1982, 1983, 1984, 1985). Accelerated growth rates typically accelerate the senescence of leaves in cotton (Chang, 1975), soybean, peanut, peas, and bean (Hardy and Havelka, 1977) and in whole plants of chaparral annuals (St. Omer and Horvath, 1983). However, Hardy and Havelka (1977) also reported extended physiological activity and delayed senescence in some cases.

*2. Growth form.* One of the important concerns over CO<sub>2</sub> increase comes from a great number of studies reporting changes in plant growth form (section I.C.2, Table 1). After one year of growth at high CO<sub>2</sub>, both *Pinus taeda* and *Liquidambar styraciflua* seedlings were taller, had more branches, greater leaf number, larger leaf area, larger stem diameters, greater leaf specific weight (Sionit et al., 1985). Thus, the one year old seedlings grown at high CO<sub>2</sub> were more bushy than control plants grown at lower CO<sub>2</sub> concentration.

Cytological changes have been documented best by Madsen (1973) but electron micrographs of leaves of leguminous plants have shown important organelle effects (Cave, Tolley and Strain, 1981; Wulff and Strain, 1982). These latter studies showed that chloroplasts accumulated starch grains at high CO<sub>2</sub> until the grana and thylakoid membranes became contorted and compressed. Thomas and Harvey (1983) have shown anatomical effects in cell structure with more palisade cells developed in leaves of corn, soybean, sweetgum and loblolly pine at high CO<sub>2</sub> conditions.

*3. Reproduction.* Flowers are produced earlier, are normally larger and occur in greater number on plants grown at high CO<sub>2</sub>, and the accelerated development normally results in earlier fruit and seed production (Calvert, 1972). For example, soybean yield greatly increased in number of seeds per plant

(Sionit, 1983), as did the grain production of wheat (Sionit, Strain and Hellmers, 1981c). High CO<sub>2</sub> has been reported to change sex ratios to more female flowers per plant in cucumber (Enoch et al., 1976). A few studies have shown that increasing CO<sub>2</sub> concentration induces germination in legume seeds (Ballard 1958, 1961; Grant Lipp and Ballard, 1959; Tolley, 1980).

In general, the net effect of CO<sub>2</sub> on plant reproduction is to accelerate all events from the dates of anthesis to the date of seed maturation. Dormancy of seeds is decreased and rate of germination may be accelerated. These events will have important ecological effects if they occur in ecosystems.

## *II. Ecological controls on carbon sequestering*

### *A. Primary organism interactions*

1. *Plant-plant.* Plant-plant interactions are of three basic types: interference, competition and symbiosis. One plant may interfere with another by releasing allelopathic chemicals into the environment (Rice, 1974). Since allelochemic compounds are frequently phenolics, terpenes or other secondary compounds (Tolbert and Zelitch, 1983), it is possible that production rates will increase because of increasing photosynthesis. Differential species responses in this regard would change interference potentials and perhaps cause ecological change.

Competition between plants will most certainly be affected by single organism responses to CO<sub>2</sub> enrichment (Patterson and Flint, 1980; Patterson, Flint and Beyers, 1984; Carter and Peterson, 1983; Bazzaz and Carlson, 1984; Zangarl and Bazzaz, 1984). Changes in plant form as outlined above may cause ecological changes (Sionit et al., 1985). There is considerable evidence that specific and sub-specific differences exist in plant communities and populations in the effects of CO<sub>2</sub> increase on individuals (Tolley and Strain, 1984a, b, 1985; Paez, Hellmers and Strain, 1984; Garbutt and Bazzaz, 1984).

Changes in plant height, branching and leaf area will change canopy structure and competition for light. The species or ecotype that responds the most in these aspects will gain increasing dominance over its competitors. A modification of the Lambert-Beer Extinction Law (Larcher, 1980) will demonstrate one of the mechanisms of ecological control over carbon sequestering:

$$I = I_0 \cdot e^{-k \cdot LAI}$$

Leaf area index (LAI) changes will affect the distribution of radiation received ( $I_0$ ), and the profile of light penetration ( $I$ ) through the canopy. Since carbon dioxide affects all of the processes that control LAI, plant-plant competitive interactions will likely change.

Symbiotic relationships between vascular plants may be beneficial to both species (mutualism), beneficial to one species but neutral to the second (commensalism), or beneficial to one species but detrimental to the second (parasitism) (Odum, 1959). All of these relationships could be affected by

differential response of individuals as outlined in the section on physiological controls. Differential growth form or phenological changes among populations of plants will definitely change the pattern of the interrelationships. I know of no specific studies on a CO<sub>2</sub> mediated change in a symbiotic relationship among vascular plants.

2. *Plant-animal*. Animals rely on plants for food and habitat and plants rely on animals for pollination and dissemination of propagules. The effects of increased CO<sub>2</sub> on these interactions are almost totally unknown. The only study I know of investigated the response of a herbivore to plant tissue grown at different CO<sub>2</sub> levels (Lincoln, Sionit and Strain, 1984). We found larvae ate more tissue as CO<sub>2</sub> increased with no increase in insect growth rate. The C/N of plant tissue increased with increasing CO<sub>2</sub> and the feeding efficiency of the larvae declined. Research is currently underway to determine if declining amino acid or protein contents are correlated with the changing C/N.

Pollination events will be affected if plant reproduction responds as described in section I.C.3. Increases in number of flowers, accelerated flower production, and the possibility that nectar volume will increase with greater carbohydrate supply should all affect pollinator activity. No specific studies of their interaction with increasing CO<sub>2</sub> are known.

If plants produce more tissue and if plant form changes as predicted above, animals will presumably be affected as they search for shelter and nesting sites. If plant competition results in quantitative changes in the vegetation of ecosystems, co-adapted animals will be affected. Again, no studies of this possible response have been completed.

3. *Plant-microbes*. Plant-microbial interactions in a CO<sub>2</sub> enhanced atmosphere were recently reviewed (Lamborg, Hardy and Paul, 1983). Possible responses of pathogenic fungi and bacteria to changes induced by plant responses to increased CO<sub>2</sub> remain speculative. If tissue quality changes, pathogenic organisms might be affected. The adaptive capabilities of microorganisms, however, are so great that gradual changes in plants and animals responding to an increase in CO<sub>2</sub> over decades may cause little observable difference in virulence. Fungal species differ in tolerance to atmospheric CO<sub>2</sub> concentration. For example, *Sclerotinia minor* and *Phytophthora infestans* are sensitive to CO<sub>2</sub> while *Penicillium nigricans*, *Cochliobolus sativus* and many species of *Fusarium* tolerate high CO<sub>2</sub> levels (Lamborg, Hardy and Paul, 1983). As far as I know, however, no specific studies have been attempted to examine the effects of CO<sub>2</sub> enhancement on pathogenic interactions.

Decomposition will almost certainly be affected by CO<sub>2</sub> induced changes in soils and ecosystems. Since soil atmosphere is normally well above the air in CO<sub>2</sub> concentration now, there is little concern that decomposers will respond directly to atmospheric CO<sub>2</sub> increases anticipated during the next



century. If, however, increasing soil organic matter leads to increasing decomposition and this significantly decreases  $O_2$  content in wet soils, microbial responses can be expected (Lamborg, Hardy and Paul, 1983).

If soil organic matter changes in chemical composition (e.g. nitrogen, phenol, lignin or terpene concentration) as predicted in section I, microbial decomposers will almost certainly be affected (Lamborg, Hardy and Paul, 1983). A slower rate of decay might occur if nitrogen concentration decreases (Aber and Melillo, 1980) or if secondary compounds increase (Meentemeyer, 1978; Melillo, Aber and Muratore, 1982).

Nitrogen fixation has been shown repeatedly to increase when plants are grown at increased  $CO_2$ . Both symbiotic (Hardy and Havelka, 1975; Phillips et al., 1976) and non symbiotic (Lamborg, Hardy and Paul, 1983) nitrogen fixation will increase as carbohydrates become more available. Lamborg, Hardy and Paul (1983) stated 'The activity of all rhizosphere microorganisms will be enhanced by the larger photosynthetic activity of plants at higher  $CO_2$  which will release a greater supply of microbial substrates through the roots.'

Mycorrhizae can be expected to increase in activity and growth as increasing carbohydrates enter the roots of plants (Lamborg, Hardy and Paul, 1983). Mycorrhizae improve plant nutrition and water relations and mycorrhizal symbiosis should be enhanced for both plants and microbes as  $CO_2$  increases (Lamborg, Hardy and Paul, 1983). As with other organism interactions, little if any, research has been done specifically on the  $CO_2$  enhancement response.

### *B. Secondary organism interactions*

Differential species responses to a change in environment lead to gene frequency changes in communities. Sharma and co-workers (1979) screened 33 races of *Arabidopsis thaliana* for survival and growth under decreased  $CO_2$  levels. Differences were found among races for survival time at very low  $CO_2$  concentration. Two races, chosen for contrasting survival time, were hybridized and the  $F_2$  progeny compared to the parents for survival time. They reported a significant number of transgressive segregates with survival times exceeding both parents. Considerable heterosis was observed in the  $F_2$  population giving evidence for genetic differentiation to low  $CO_2$  concentration. No comparable studies have been completed for genetic differentiation to  $CO_2$  enhancement. Climatic changes of the magnitude predicted by Manabe and Wetherald (1980) would definitely affect populations, and change species distribution (Idso and Quinn, 1983) and community composition (Bazzaz, 1984).

Bazzaz and co-workers (reviewed in Strain and Bazzaz, 1983) have concluded the following from their studies with  $CO_2$  enrichment:

- 1) Total community production increased with  $CO_2$  enrichment.

- 2) There was a change in biomass hierarchy and a shift in species dominance.
- 3) Mean seed biomass increased for several of the species measured.

### *C. Tertiary ecosystem responses*

Individual organisms change in direct response to CO<sub>2</sub> concentration or to subsequent indirect effects of CO<sub>2</sub> on organisms. If the changes persist through time, gene frequencies can be expected to change and species may change in importance in ecosystems. Vegetation boundaries have been predicted by computer simulations to move in direct response to CO<sub>2</sub> induced changes in plant water use efficiency (Idso and Quinn, 1983). Some species may become more dominant, others less so, and perhaps some will be suppressed completely. There is one study underway in Alaska (Prudhomme et al., 1984) and one in West Germany (Overdieck, 1984) examining these ecosystem responses. The studies indicate differential species responses that may lead to ecosystem changes. Several years of continuous CO<sub>2</sub> enrichment and observation will be required, however, to test the hypotheses involved.

Microcosm studies in the Duke Phytotron with frozen cores removed from the permafrosted tundra and taiga of Alaska, have shown that temperature, atmospheric CO<sub>2</sub> concentration, soil nitrogen and water level will interact to affect net ecosystem carbon cycling (Billings et al., 1982, 1983; Peterson, Billings and Reynolds, 1984). It is possible that permafrosted tundra may sequester less carbon in the future and may even become a net carbon source due to increasing decomposition in a warmer climate.

### **Conclusions**

CO<sub>2</sub> will have its initial effect on ecosystem parameters involved in biomass production. Subsequent ecosystem responses are largely speculative. Net ecosystem productivity is the result of total primary productivity (PP<sub>g</sub>) of green plants minus the respiratory loss of all plants (PP<sub>r</sub>), animals (SP<sub>r</sub>), and microbes (D<sub>r</sub>) in the system, minus any losses due to fire (F), and any material harvested or otherwise removed from the system (H). The net response may be expressed as:

$$EP_n = PP_g - PP_r - SP_r - D_r - F - H$$

Since an increase in carbon sequestering can only occur if EP<sub>n</sub> increases from year to year, it is clear that we must understand the complete impact of carbon dioxide enhancement on each of the components of the above equation. Since there has been almost no research on SP<sub>r</sub> or D<sub>r</sub> as affected by CO<sub>2</sub> increase, it is currently impossible to predict ecosystem carbon flux. Among the uncertainties are human effects on F and H. It appears that F + H will continue to increase leading to increasingly negative EP<sub>n</sub> for the globe as a whole.

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